



B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

In re : Lehman Brothers Holdings inc.

Case No. 08-13555

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111 (a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

NOMURA INTERNATIONAL PLC

Name of Transferee

NOMURA CAPITAL MARKETS LIMITED

Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim# (if known): See Schedule1

Amount of Claim: See Schedule1

Date Claim Filed: See Schedule1

Nomura International Plc

Attn : Corporate Actions

1 Angel Lane

London EC4R 3AB

United Kingdom

SH-CORP-ACTIONS@nomura.com

Phone: +44(0)2071021000

Phone

Last Four Digits of Acct #: 1548

Last Four Digits of Acct #: 1169

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date : 9/4/2019

**Schedule 1**

**Transferred Claims**

#	Claim #	Date Claim Filed	ISIN	Allowed Amount USD	Claim Class per Plan
1	62762	02-Nov-2009	XS0360926298	3,710,520.37	05 Senior Third Party Guarantee
2	56882	29-Oct-2009	XS0358299542	924,056.73	05 Senior Third Party Guarantee
3	62761	02-Nov-2009	XS0176153350	840,283.47	05 Senior Third Party Guarantee
4	56881	29-Oct-2009	XS0346461634	690,000.00	05 Senior Third Party Guarantee
5	56880	29-Oct-2009	XS0380296144	675,000.00	05 Senior Third Party Guarantee
6	56891	29-Oct-2009	DE000A0SUA99	480,092.71	05 Senior Third Party Guarantee
7	56879	29-Oct-2009	XS0333420395	200,000.00	05 Senior Third Party Guarantee
8	56892	29-Oct-2009	DE000A0SUA81	191,621.15	05 Senior Third Party Guarantee
9	62759	02-Nov-2009	DE000A0TT7X8	191,579.28	05 Senior Third Party Guarantee
10	56894	29-Oct-2009	DE000A0TLL96	170,292.69	05 Senior Third Party Guarantee
11	56885	29-Oct-2009	XS0336020143	141,910.58	05 Senior Third Party Guarantee
12	56897	29-Oct-2009	XS0243354619	141,910.58	05 Senior Third Party Guarantee
13	56893	29-Oct-2009	DE000A0MGS69	132,653.00	05 Senior Third Party Guarantee
14	62760	02-Nov-2009	XS0178969209	109,318.29	05 Senior Third Party Guarantee
15	56915	29-Oct-2009	CH0027120861	102,584.91	05 Senior Third Party Guarantee
16	56874	29-Oct-2009	XS0250113841	86,180.53	05 Senior Third Party Guarantee
17	56890	29-Oct-2009	XS0256934000	85,146.35	05 Senior Third Party Guarantee
18	56875	29-Oct-2009	XS0187967160	71,363.41	05 Senior Third Party Guarantee
19	56883	29-Oct-2009	XS0352970650	70,955.29	05 Senior Third Party Guarantee
20	56878	29-Oct-2009	XS0313103003	60,627.74	05 Senior Third Party Guarantee
21	56876	29-Oct-2009	XS0186243118	44,715.64	05 Senior Third Party Guarantee
22	56914	29-Oct-2009	CH0027120747	35,477.64	05 Senior Third Party Guarantee
23	56873	29-Oct-2009	XS0325550472	31,221.49	05 Senior Third Party Guarantee
24	56889	29-Oct-2009	CH0027120994	28,373.80	05 Senior Third Party Guarantee
25	56877	29-Oct-2009	CH0027120663	13,380.64	05 Senior Third Party Guarantee
26	56870	29-Oct-2009	XS0350390406	961.75	05 Senior Third Party Guarantee

Please see enclosed transfer of data  
form.

Any issues my contact details:

TEL - 0044 207 102 5707

Email: Sarah.glaister@nomura.com

With compliments

Kind regards  
Sarah Glaister